

RECEIVED  
CENTRAL FAX CENTER

SEP 15 2005

## WOOD, HERRON &amp; EVANS, L.L.P.

BRUCE TITTEL  
DONALD F. FREI  
DAVID S. STALLARD  
J. ROBERT CHAMBERS  
GREGORY J. LUNN  
KURT L. GROSSMAN  
CLEMMENT H. LUKE, JR.  
THOMAS J. BURGER  
GREGORY F. AHRENS  
WAYNE L. JACOBS  
KURT A. SUMME  
KEVIN G. ROONEY  
KEITH R. HALPIT  
THEODORE R. REMAKLUS  
THOMAS W. HUMPHREY  
SCOTT A. STINEBRUNER  
DAVID H. BRINKMAN  
BEVERLY A. LYMAN, PH.D.  
KRISTIL L. DAVIDSON

2700 CAREW TOWER  
441 VINE STREET  
CINCINNATI, OHIO 45202-2917  
TELEPHONE: 513-241-2324  
FACSIMILE: 513-241-6234  
WEBSITE: www.whepatent.com

PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968  
TRUMAN A. HERRON 1935-1976  
EDWARD B. EVANS 1936-1971

JOSEPH R. JORDAN  
C. RICHARD EBY  
KATHRYN E. SMITH  
P. ANDREW BLATT, Ph.D.  
DAVID E. JEFFERIES  
WILLIAM R. ALLEN, Ph.D.  
JOHN PAUL DAVIS  
DOUGLAS A. SCHOLER  
BRETT A. SCHATZ  
DAVID W. DORTON  
SARAH OTTE GRABER  
STEVEN W. BENINTENDI, Ph.D.  
RANDALL S. JACKSON, JR.  
OF COUNSEL  
JOHN D. POFFENBERGER  
DAVID J. JOSEPHIC  
THOMAS W. FLYNN  
J. DWIGHT POFFENBERGER, JR.  
BRADLEY D. BECK

September 15, 2005

## FACSIMILE COVER SHEET

To: Examiner Russell L. Guill  
Art Unit 2123  
Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22213-1450

Fax: 571-273-8300

Enclosures:

Fax Cover Sheet containing Certificate of  
Facsimile Transmission (1 page)  
Transmittal containing Certificate of Facsimile  
Transmission and Authorization to Charge  
Deposit Account 23-3000 in the amount of  
\$50.00 for Additional Claims Fees (2 pages)  
Amendment and Response (16 pages)

From: Scott A. Stinebruner  
Reg. No. 38,323

Re: U.S. Patent Application  
Serial No. 10/020,601  
Filed: December 14, 2001  
Applicant: Kristopher Craig Whitney  
Art Unit: 2123  
Confirmation No.: 6555  
Our Ref: IBM/208

Pages: 19 (including cover sheet)

*Resubmission of incomplete pages:*  
*Auto Reply 09/15/2005 3:44:21 PM 12 pp.*  
*09/15/2005 3:55:40 PM 8 pp.*

MESSAGE/COMMENTS  
OFFICIALCERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and the enclosures noted herein (19 total pages, including cover sheet) are being transmitted via facsimile transmission to Examiner Russell L. Guill, Art Unit 2123, Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450 at 571-273-8300 on September 15, 2005.

*Judith L. Volk*  
Judith L. Volk

*September 15, 2005*  
Date

RECEIVED  
OICE/IAP

SEP 16 2005

The information in this facsimile message is ATTORNEY-CLIENT PRIVILEGED, WORK PRODUCT and/or CONFIDENTIAL INFORMATION intended only for the use of the individual or entity to whom this message is addressed. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that any dissemination, distribution or reproduction of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone and return the original message to us at the above address via mail. Thank you.

If transmission is interrupted or of poor quality, please notify us immediately by calling (513) 241-2324 and ask for the sender's assistant. OUR FAX NUMBER IS (513) 241-6234.

RECEIVED  
CENTRAL FAX CENTER

SEP 15 2005

PATENT

Att'y Docket No. IBM/208/124  
Confirmation No. 6555

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence and the enclosures noted herein (19 total pages, including cover sheet) are being transmitted via facsimile transmission to Examiner Russell L. Guill, Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 at 571-273-8300 on September 15, 2005.

*Judith L. Volk*  
Judith L. Volk

*September 15, 2005*  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kristopher Craig Whitney Art Unit: 2123  
Serial No.: 10/020,601 Examiner: Russell L. Guill  
Filed : December 14, 2001  
For : HANDHELD COMPUTER CONSOLE EMULATION MODULE AND  
METHOD OF MANAGING A LOGICALLY-PARTITIONED MULTI-USER  
COMPUTER WITH SAME

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL**

1. ☒ Transmitted herewith is an Amendment and Response.
2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ Enclosed is a verified statement to establish Small Entity status  
☒ Other than a Small Entity
3. The fee has been calculated as shown below:

**CALCULATION OF FEES**

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	24	minus	23	1	\$50	\$50.00
Independent Claims	4	minus	4	0	\$200	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$360	\$0.00
TOTAL FEE FOR CLAIMS:						\$50.00

- ☐ No additional fee for claims is required.

Page 1 of 2  
Serial No. 10/020,601  
Transmittal for Amendment of September 15, 2005  
IBM Docket: ROC920010236US1  
WIPO P. 17M/028

4. ☐ Attached is a check in the sum of \$\_\_\_\_\_ for additional claims.  
☒ Please charge my Deposit Account No. 23-3000 in the amount of \$50.00.
5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.

- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 120.00	\$ 60.00
<input type="checkbox"/>	two months	\$ 450.00	\$ 225.00
<input type="checkbox"/>	three months	\$1,020.00	\$ 510.00
<input type="checkbox"/>	four months	\$1,590.00	\$ 795.00
<input type="checkbox"/>	five months	\$2,160.00	\$1,080.00

Extension fee due with this request:

\$ \_\_\_\_\_

Method of Payment:

Check enclosed in the amount of \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

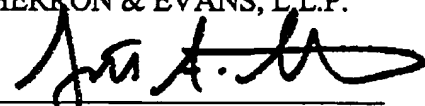
(Check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_ months has already been secured and the fee paid thereof of \$\_\_\_\_ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$\_\_\_\_.
- OR**
- ☒ (b) Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.
6. ☒ If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:

  
 Scott A. Stinebruner  
 Reg. No. 38,323

2700 Carew Tower  
 441 Vine Street  
 Cincinnati, Ohio 45202-2917  
 Telephone: (513) 241-2324  
 Facsimile: (513) 241-6234

**Enclosed:**

Fax Cover Sheet containing Certificate of Facsimile Transmission (1 page)  
 Transmittal containing Certificate of Facsimile Transmission and Authorization to Charge Deposit  
 Account 23-3000 in the amount of \$50.00 for Additional Claims Fees (2 pages)  
 Amendment and Response (16 pages)

RECEIVED  
CENTRAL FAX CENTER

SEP 15 2005

PATENT

IBM/208  
Confirmation No. 6555

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kristopher Craig Whitney      Art Unit: 2123  
Serial No.: 10/020,601      Examiner: Russell L. Guill  
Filed: December 14, 2001      Atty. Docket No.: IBM/208  
For: HANDHELD COMPUTER CONSOLE EMULATION MODULE AND  
METHOD OF MANAGING A LOGICALLY-PARTITIONED MULTI-  
USER COMPUTER WITH SAME

---

AMENDMENT AND RESPONSE

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In reply to the Office Action dated June 15, 2005, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 7 of this paper.

09/16/2005 HUUONG1 00000090 233000 10020601

01 FC:1202 50.00 DA

Page 1 of 16  
Serial No. 10/020,601  
Amendment and Response dated September 15, 2005  
Reply to Office Action of June 15, 2005  
IBM Docket ROC920010236US1  
WH&E IBM/208  
K:\ibm\208\Amendment and Response re 6-15-05 OA.wpd